

# Permutations

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## Abstract

Contents of the lecture.

- ☞ Groups of permutations.
- ☞ Orbits, cycles, and the alternating groups.

## Permutations

**Definition 1.** A **permutation** of a non-empty set  $A$  is a mapping  $\sigma: A \mapsto A$  that is both one to one and onto.

Let  $A = \{1, 2, \dots, n\}$ . A *rearrangement* is a list, with no repetitions, of all the elements of  $A$ . A rearrangement  $i_1, i_2, \dots, i_n$  of  $A$  determines a function  $\sigma: A \mapsto A$ , namely,  $\sigma(1) = i_1, \sigma(2) = i_2, \dots, \sigma(n) = i_n$ . We use a two-rowed notation to denote the function corresponding to a rearrangement; if  $\sigma(j)$  is the  $j$ th item on the list, then

$$\sigma = \begin{pmatrix} 1 & 2 & \dots & j & \dots & n \\ \sigma(1) & \sigma(2) & \dots & \sigma(j) & \dots & \sigma(n) \end{pmatrix}.$$

That a list contains *all* the elements of  $A$  says that the corresponding function  $\sigma$  is onto; that there are no repetitions on the list says that distinct points have distinct values; that is,  $\sigma$  is one-to-one. Thus, each list determines a one-to-one correspondence  $\sigma: A \mapsto A$ ; that is, each rearrangement determines a permutation. Conversely, every permutation  $\sigma$  determines a rearrangement, namely, the list  $\sigma(1), \sigma(2), \dots, \sigma(n)$  displayed as the bottom row. Therefore, rearrangement and permutation are simply different ways of describing the same thing. The advantage of viewing permutations as functions, however, is that they can now be composed and their composite is also a permutation.

**Definition 2.** The family of all the permutations of a set  $A$ , denoted by  $S_A$ , is called the **symmetric group** on  $A$ . When  $A = \{1, 2, \dots, n\}$ ,  $S_A$  is usually denoted by  $S_n$ , and it is called the **symmetric group on  $n$  letters**.

**Example:  $S_3$  and  $D_3$** 

**Example 1.** Denote the elements of  $S_3$  as

$$\rho_0 = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix}, \quad \mu_1 = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix},$$

$$\rho_1 = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}, \quad \mu_2 = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix},$$

$$\rho_2 = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}, \quad \mu_3 = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}.$$

The multiplication table of  $S_3$  is as follows.

|          | $\rho_0$ | $\rho_1$ | $\rho_2$ | $\mu_1$  | $\mu_2$  | $\mu_3$  |
|----------|----------|----------|----------|----------|----------|----------|
| $\rho_0$ | $\rho_0$ | $\rho_1$ | $\rho_2$ | $\mu_1$  | $\mu_2$  | $\mu_3$  |
| $\rho_1$ | $\rho_1$ | $\rho_2$ | $\rho_0$ | $\mu_3$  | $\mu_1$  | $\mu_2$  |
| $\rho_2$ | $\rho_2$ | $\rho_0$ | $\rho_1$ | $\mu_2$  | $\mu_3$  | $\mu_1$  |
| $\mu_1$  | $\mu_1$  | $\mu_2$  | $\mu_3$  | $\rho_0$ | $\rho_1$ | $\rho_2$ |
| $\mu_2$  | $\mu_2$  | $\mu_3$  | $\mu_1$  | $\rho_2$ | $\rho_0$ | $\rho_1$ |
| $\mu_3$  | $\mu_3$  | $\mu_1$  | $\mu_2$  | $\rho_1$ | $\rho_2$ | $\rho_0$ |

Consider an equilateral triangle with vertices labelled as 1, 2, and 3. Let  $\rho_j$  be a rotation by  $2\pi j/3$  clockwise, and let  $\mu_j$  be mirror imaging in the bisector of angle  $j$ . Then our table is also the multiplication table of the group  $D_3$  of symmetries of an equilateral triangle!

**Definition 3.** The  $n$ th dihedral group  $D_n$  is the group of symmetries of the regular  $n$ -gon. The group  $D_4$  is called the **octic group**.

**Cayley's Theorem**

The next theorem shows that the symmetric groups are incredibly rich and complex.

**Theorem 1.** Let  $G$  be a group. Then  $G$  is isomorphic to a subgroup of  $S_G$ .

*Sketch of proof.* 1. Let  $h: G \mapsto S_G$  be the function which sends  $a \in G$  to the function  $h_a: G \mapsto G$  defined by

$$h_a(g) = ag, \quad g \in G.$$

For each given  $a$ ,  $h_a$  is a one-to-one correspondence between  $G$  and itself.

2.  $h$  is a homomorphism, i.e.,  $h_{ab} = h_a h_b$ .
3.  $h$  is one-to-one and thus  $G$  is isomorphic to the image  $h[G] \subset S_G$ .

□

## Orbits

Let  $\sigma \in S_A$ . Define the following relation on  $A$ :

$$a \sim b \Leftrightarrow \exists n \in \mathbb{Z}: b = \sigma^n(a).$$

$\sim$  is an equivalence relation.

**Definition 4.** Let  $\sigma \in S_A$ . The equivalence classes in  $A$  determined by the equivalence relation  $\sim$  are the **orbits** of  $\sigma$ .

The algorithm of finding the orbits of  $\sigma \in S_n$  is as follows.

**Step 1** Pick the smallest element of  $\{1, 2, \dots, n\}$  which has not yet appeared — call it  $a$  (if you are just starting,  $a = 1$ ); write  $\{a$  If no such element exist, stop.

**Step 2** Read off  $\sigma(a)$  from the given description of  $\sigma$  — call it  $b$ . If  $b = a$ , close the set with a right brace  $\}$  (without writing  $b$  down); this completes an orbit — return to Step 1. If  $b \neq a$ , write  $b$  next to  $a$  in this orbit:  $\{a, b$

**Step 3** Read off  $\sigma(b)$  from the given description of  $\sigma$  — call it  $c$ . If  $c = a$ , close the set with a right brace  $\}$  to complete the orbit — return to Step 1. If  $c \neq a$ , write  $c$  next to  $b$  in this orbit:  $\{a, b, c$   
Repeat this step using the number  $c$  as the new value for  $b$  until the orbit closes.

**Example 2.** Let  $\sigma \in S_{13}$  be as follows.

$$\sigma = \left( \begin{array}{cccccccccccccc} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 \\ 12 & 13 & 3 & 1 & 11 & 9 & 5 & 10 & 6 & 4 & 7 & 8 & 2 \end{array} \right).$$

The orbits are

$$\{1, 12, 8, 10, 4\}, \quad \{2, 13\}, \quad \{3\}, \quad \{5, 11, 7\}, \quad \{6, 9\}.$$

## Cycles

**Definition 5.** A permutation  $\sigma \in S_n$  is a **cycle** if it has at most one orbit containing more than one element. The **length** of a cycle is the number of elements in its largest orbit.

In *cyclic notation* the cycle is written as  $\sigma = (a_1, a_2, \dots, a_m)$ , where  $\sigma(a_j) = a_{j+1}$ , and if  $a_k$  is not among  $a_1, a_2, \dots, a_m$ , then  $\sigma(a_k) = a_k$ .

Example 2 shows that

$$\sigma = (1, 12, 8, 10, 4)(2, 13)(3)(5, 11, 7)(6, 9),$$

i.e.,  $\sigma$  can be written as a product of disjoint cycles. This is a general fact.

### The cycle decomposition theorem

**Theorem 2.** Every  $\sigma \in S_n$  is a product of disjoint cycles.

*Proof.* Let  $O_1, O_2, \dots, O_r$  be the orbits of  $\sigma$ . For  $1 \leq j \leq r$ , define the disjoint cycle  $\mu_j$  as

$$\mu_j = \begin{cases} \sigma(x), & x \in O_j, \\ x, & x \notin O_j. \end{cases}$$

Clearly  $\sigma = \mu_1 \mu_2 \dots \mu_r$ . □

### Transpositions

**Definition 6.** A **transposition** is a cycle of length 2.

Clearly

$$(a_1, a_2, \dots, a_m) = (a_1, a_m)(a_1, a_{m-1}) \dots (a_1, a_3)(a_1, a_2),$$

i.e., any cycle is a product of transpositions. It follows that if  $2 \leq |A| < \infty$ , then any  $\sigma \in S_A$  is a product of transpositions.

**Theorem 3.** No permutation in  $S_n$  can be expressed both as a product of an even number of transposition and as a product of an odd number of transposition.

*Proof.* Let  $\sigma \in S_n$  be a product of  $j$  transpositions and a product of  $k$  transpositions. We have to prove that  $j - k$  is even.

Let  $I_n$  be the  $n \times n$  identity matrix. Because each transposition of rows multiplies the determinant of a matrix by  $-1$ , the determinant of  $\sigma I_n$  is equal to both  $(-1)^j$  and  $(-1)^k$ . It follows that  $(-1)^j = (-1)^k$ , i.e.  $j - k = 2m$ . □

## The alternating group

**Definition 7.** A permutation  $\sigma \in S_n$  is **even (odd)** if it can be express as a product of an even (odd) number of transpositions.

**Theorem 4.** *If  $n \geq 2$ , then the set of all even permutations of the set  $\{1, 2, \dots, n\}$  form a subgroup  $A_n$  of order  $n!/2$  of the symmetric group  $S_n$ .*

**Definition 8.** The group  $A_n$  is the **alternating group on n letters**.